



## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77157

Haruo KAWASAKI

Appln. No.: 10/656,284

Group Art Unit: 2635

Confirmation No.: 8673

Examiner: Vernal U. Brown

Filed: September 08, 2003

For: TERMINAL LOCK SYSTEM COMPRISING KEY DEVICE CARRIED BY USER AND  
TERMINAL-ASSOCIATED DEVICE INCORPORATED IN TERMINAL DEVICE

#### **INFORMATION DISCLOSURE STATEMENT** **UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. International Publication No. WO 02/084896A1, published October 24, 2002.  
(previously submitted in IDS of April 9, 2004)
2. United Kingdom Patent Application Publication No. 2 384 942 A, published August 6, 2003. (previously submitted in IDS of April 9, 2004)
3. U.S. Patent Application Publication No. 2001/0056305, published December 27, 2001.

The above references have either previously been submitted or are U.S. references, therefore, copies are not being submitted herewith. One copy of the Office Action issued in the

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/656,284

Atty. Docket: Q77157

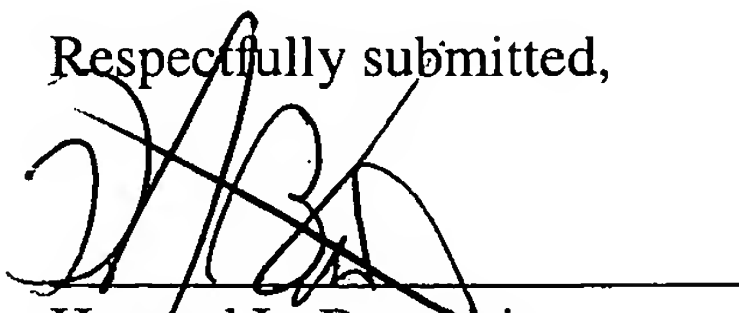
Canadian corresponding application dated August 3, 2005, which cites such documents is being submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
Howard L. Bernstein  
Registration No. 25,665

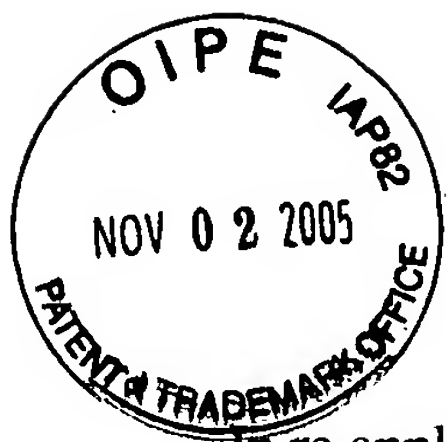
SUGHRUE MION, PLLC  
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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 2, 2005



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Haruo KAWASAKI

Docket No: Q77157

Appln. No.: 10/656,284

Group Art Unit: 2635

Confirmation No.: 8673

Examiner: Vernal U. Brown

Filed: September 8, 2003

For: **TERMINAL LOCK SYSTEM COMPRISING KEY DEVICE CARRIED BY USER AND  
TERMINAL-ASSOCIATED DEVICE INCORPORATED IN TERMINAL DEVICE**

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

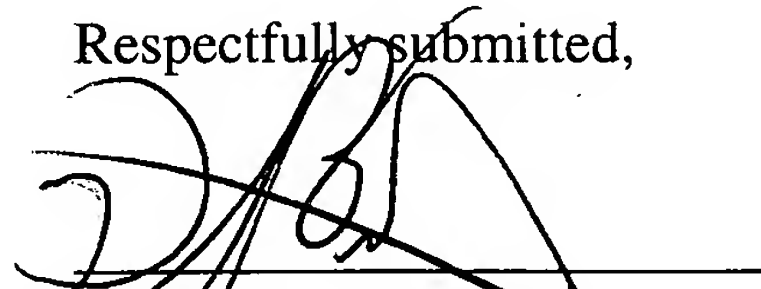
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Respectfully submitted,

  
Howard L. Bernstein  
Registration No. 25,665

Date: November 2, 2005

Substitute for Form 1449 A &amp; B/PTO

Complete if Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	10/656,284
Confirmation Number	8673
Filing Date	September 8, 2003
First Named Inventor	Haruo KAWASAKI
Art Unit	2635
Examiner Name	Vernal U. Brown
Attorney Docket Number	Q77157

Sheet

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of

1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 2001/0056305	A1	12-27-2001	Koichi Moriya et al.
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.